



PATENT APPLICATION
Docket No: 11675.130.1

#101 Supp
IDS
4-23-02
R. Dwyer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yongjun Hu

Serial No.: 09/476,558 ✓

Filed: January 3, 2000

For: ANTIREFLECTIVE COATING LAYER ✓

Examiner: Wai-Sing Louie

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) Art Unit
) 2814
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SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

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U.S. Patent

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
4,436,608	3/84	Bennett et al	214	265
4,899,202	2/90	Blake et al	357	23.7
5,965,942	10/99	Itoh et al	257	761
5,464,711	11/95	Mogab et al	430	5

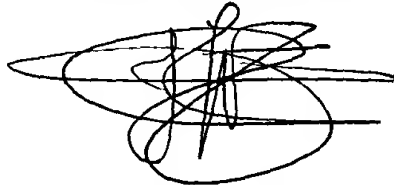
Promptness Certification Under 37 C.F.R. § 1.97(c)

In accordance with 37 C.F.R. § 1.97(c), a Promptness Certification is enclosed to secure consideration of the references submitted with this Information Disclosure Statement.

Please credit any overpayment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED this 27th day of March 2002.

Respectfully submitted,



Jesús Juanós i Timoneda, Ph. D.
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Registration No. 43,332



022901

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Docket No.: 11675.130.1

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 11675.130.1
In Re Application: Yongjun Hu			
Serial No. 09/476,558 ✓	Filing Date January 3, 2000 ✓	Examiner Wai-Sing Louie	Group Art Unit 2814 ✓

Payment of Fee
 (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☒ A check in the amount of SEE FTD-2038 is attached.
☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Jesus Juanos i Timoneda Ph.D.
 Attorney for Applicant
 Reg No. 43,332

Dated: March 27, 2002

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CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) & 1.97(d))

Docket No.
11675.130.1

In Re Application Of: Yongjun Hu

Serial No.
09/476,558

Filing Date
January 3, 2000

Examiner
Wai-Sing Louie

Group Art Unit
2814

Title: ANTIREFLECTIVE COATING LAYER

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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